

Three-Dimensional Optical Transformer – Highly Efficient Nanofocusing Device

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Abstract: Using electron-beam-induced deposition and focused-ion-beam milling, we have fabricated and demonstrated a nanofocusing optical transformer with a 3-dimensionally tapered tip. At the tip, the light is confined to 13-by-80-nm area with intensity enhancement exceeding 1500.

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1. Introduction

Scientific and commercial applications of optical nanofocusing devices have attracted a lot of research efforts in recent years. Sub-diffraction-limited microscopy, nano-photolithography, tip-based nanofabrication, and heat-assisted magnetic recording are a few examples. To realize these applications, nanofocusing devices must be able to confine light into sub-100-nm space with great efficiency. Previously, researchers have achieved nanofocusing using scanning-tunneling-microscope (STM) tips, tapered plasmonic pins, enhanced transmission apertures, and tapered fiber probes [1-4]. Most of these techniques achieve ≤ 100 nm in spot diameter. However, their low efficiency makes them unsuitable for applications that require nanoscale spot sizes as well as high optical-energy throughput, such as heat-assisted magnetic recording (HAMR) for achieving data density beyond 1 Tbits/in².

2. Optical Transformer Concept, Fabrication, and Characterizations

Using electron-beam-induced deposition and focused ion-beam milling [5], we have fabricated plasmonic optical transformers with a tapered tip (Fig. 1). The fabricated transformers are composed of Au/SiO₂/Au layers and have tips that linearly taper in two dimensions. The thickness of the SiO₂ layer, fabricated using e-beam induced deposition, decreases from 200 nm down to < 15 nm, which is an important feature of the transformer.

The concept of the optical transformer and its optimized dimensions (from COMSOL simulation) are shown in Fig. 2 (a) and (b). The optical transformer is an impedance transformer at optical frequency. The gradually decreasing vertical gap and horizontal width of the structure along the optical axis increase the kinetic impedance and makes it possible to focus lights into a few nanometer dimensions with a very low loss. The two major advantages of the optical transformer over conventional plasmonic antennas are (a) much improved enhancement of electric field ($> 10^3$ with an efficient optical coupler); and (b) excellent impedance match to analyte molecules. Due to the intrinsic properties of metal-insulator-metal waveguides, the optical focus size is determined by the dimensions of the dielectric layer, making it possible to achieve sub-10-nm spot sizes (Fig. 2 (c)).

Our fabrication process is illustrated in Fig. 3. We first deposit a 50-nm-thick gold layer (Fig. 3 (a)) on a substrate. The dielectric material, which is SiO₂, is deposited using electron-beam induced deposition (Fig. 3 (b)). The tapering geometry is achieved by controlling the scan (deposition) area and the dose of the electron beam and by performing multi-layer depositions. The process has enabled us to obtain tapering angles between 5 - 45 degrees and deposit layers as thin as 5 nm. Following the SiO₂ deposition, another 50-nm-thick gold layer is deposited (Fig. 3 (c)). The final shape of the transformer is defined by performing focused ion-beam milling (Fig. 3 (d)).

Using 120-fs Ti-sapphire-laser pulses at 830 nm (focused down to a diffraction limited spot, FWHM ~ 400 nm), we excited at the base of the transformer and collected the two-photon luminescence from the base (Fig. 4 (a) and from the tapered tip (Fig. 4 (b)). As a reference, we also measured the two-photon luminescence from a gold surface (with peak-to-peak roughness of 8 nm) using approximately twenty times higher laser power (Fig. 4 (c)).

To calculate the intensity enhancement factor using the equation (1) from the reference 6, we compared the TPPL-emission intensity measured at the tip of the optical transformer to that from the flat gold film (peak-to-peak roughness of 8 nm). We used the COMSOL simulation to calculate the active mode volume inside the tip as well as the light-coupling efficiency (8.6 %) into the transformer. For the gold film, we assumed the excitation-spot diameter of 400 nm and skin depth of 25 nm to calculate the active mode volume. The calculated intensity enhancement is 1502. To our knowledge, these are the highest enhancement values that have been reported for 13-by-80-nm spot size.

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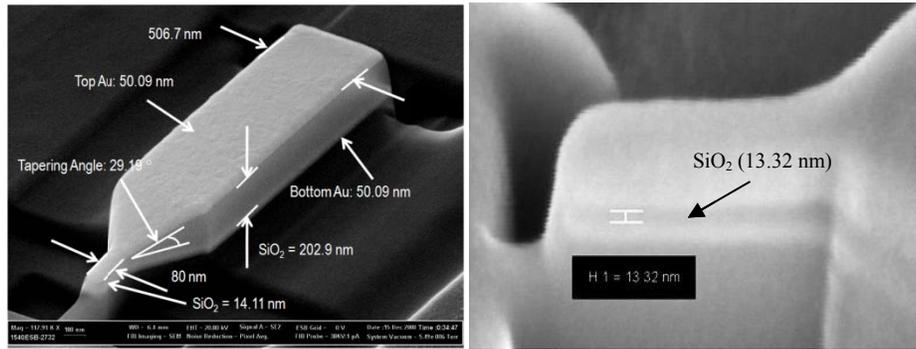


Fig. 1. SEM images: fabricated optical transformer and side view of the tapered tip

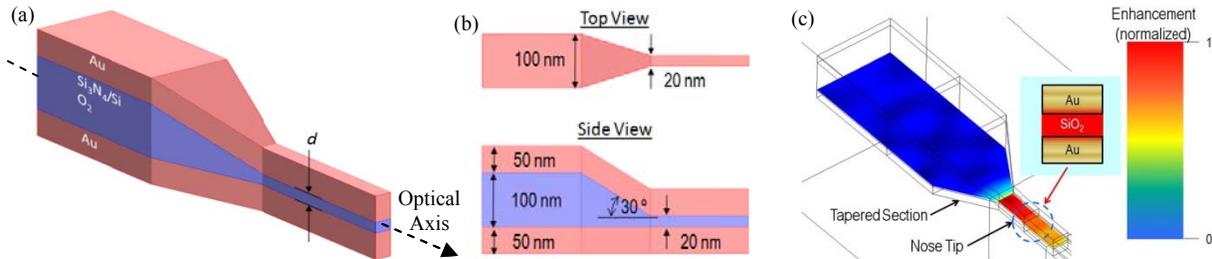


Fig. 2. (a) The concept of an optical transformer; (b) optimized dimensions (from COMSOL simulation) – A smaller plate separation at the tapered end will result in stronger e-field enhancement; and (c) final focus dimension (from COMSOL simulation)

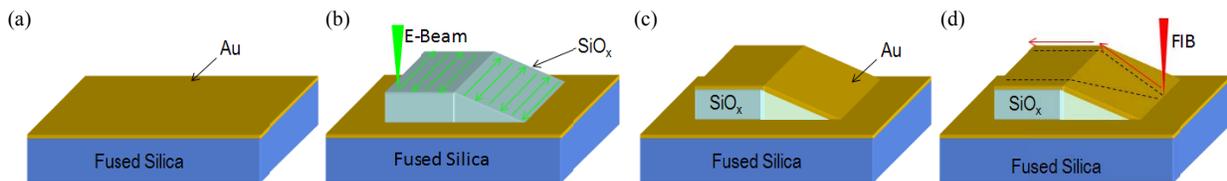


Fig. 3. Fabrication process: (a) Au deposition; (b) electron-beam induced deposition of SiO_x ; (c) Au deposition; and (d) final patterning using focused ion beam

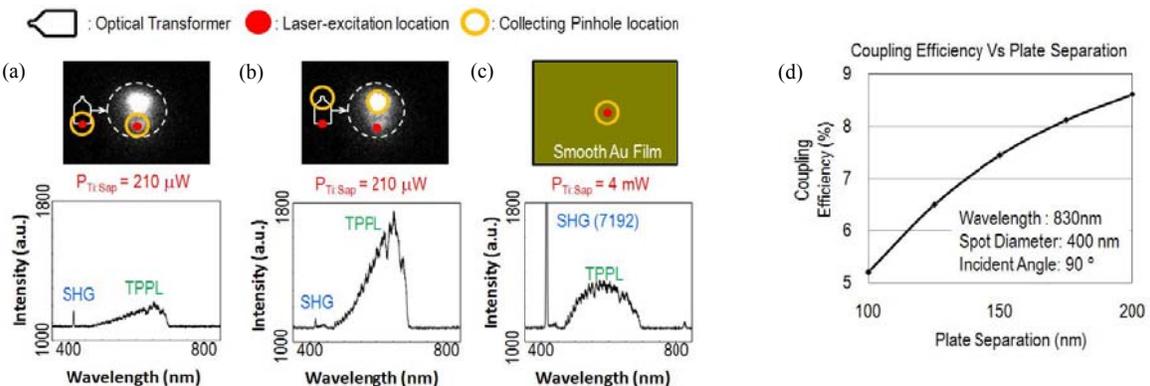


Fig. 4. TPPL measurements: (a) Excitation and collection at the base; (b) excitation at the base and collection at the tip; (c) TPPL measured on a smooth gold film (peak-to-peak roughness of 8 nm); and (d) light-coupling efficiency into the optical transformer at the base at normal incidence